

# APPLICATION OF CLASSIFICATION TREES TO MULTIVARIATE COMPARISON OF HCS DATA

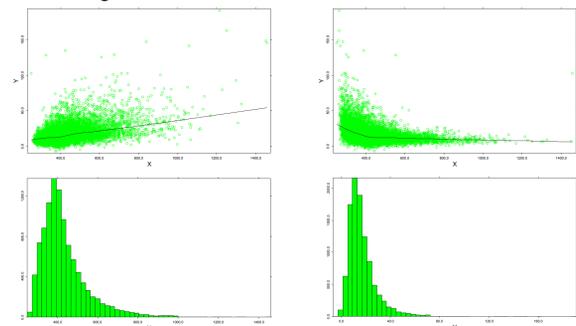
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## Abstract

HCS data sets are multivariate in nature. All the variables have to be considered JOINTLY to effectively and properly use HCS data for any two-sample tests. This poster demonstrates a novel application of classification trees to HCS data, using dose response analysis as an example. The technique of classification trees has 3 unique advantages in HCS data analysis: 1) it performs multivariate two-sample comparison, 2) it outputs measures of importance for ALL the variables involved, and 3) it gives succinct characterizations of the conditions that drive a cellular phenomenon.

## Introduction

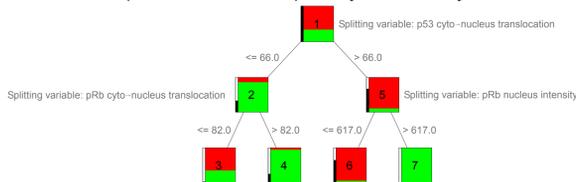
- HCS data are inherently multivariate: Hundreds to thousands of cells in each well of microplates are imaged in multiple fluorescent channels; tens or hundreds parameters are reported for each cell.
- Histograms and Kolmogorov-Smirnov (KS) tests are frequently used to compare HCS (and flow cytometry) data.
- These methods are based on the marginal distribution of a SINGLE variable ONLY and do not take relationships between variables into account. Quite likely, important information is not revealed as a result.
- When comparing 2 samples of multivariate data, similar-looking histograms (hence, non-significant KS statistics) for each of the variables do not necessarily imply the same population. The following data come from 2 different populations but have the same X and Y histograms:



- We should examine the JOINT distributions of HCS variables both ANALYTICALLY and GRAPHICALLY. These can be achieved with advanced statistical techniques such as classification trees and multidimensional scaling.

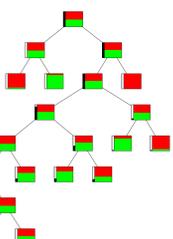
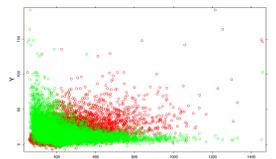
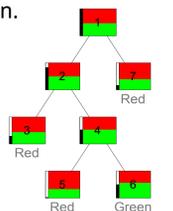
## Classification Trees

- Given a set of observations that belong to 2 classes ( $C_1$  and  $C_2$ ), a classification tree recursively splits the observations based on a variable value test into 2 subsets where the combined "impurity" of the 2 subsets is less than the impurity of the 2 subsets pooled together.
- Impurity of a set of data is defined to be  $1 - p^2 - q^2$ , where  $p$  and  $q$  are the proportion of  $C_1$  and  $C_2$  observations in this data set, respectively (hence,  $p + q = 1$ ).
- Example: 1359 (red) cells treated by etoposide and 720 (green) cells treated by vinblastin.
  - 2 classes: etoposide (red) vs. vinblastin (green)
  - 8 variables are used to grow a classification tree; only 3 show up in the final tree.
  - Misclassification rate: 0.089
  - The most important variable: p53 cyto-nucleus translocation
  - The least important variable: p53 cyto intensity



## Rationale of 2-Sample Tests by Classification Trees

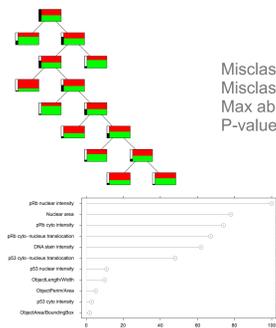
- If 2 samples do not differ from each other, a classification tree will give a misclassification rate close to that of majority vote.
  - Example: 1605 cells in the same well treated by etoposide are randomly assigned to 2 groups: red and green.
    - 775 green cells vs. 830 red cells
    - Majority vote (every cell is red) with misclassification rate 0.483, which is  $775 / (775 + 830)$ .
    - Misclassification rate of a classification tree grown with 11 variables: 0.463
- If 2 samples are different, a classification tree can separate them out with a misclassification rate much lower than that of majority vote.
  - Example: Paint one of the above 2 scatterplots red and pool all the data together.
    - 10283 points each color.
    - Misclassification rate of majority vote: 0.5
    - Misclassification rate of the classification tree grown with X and Y: 0.34.
    - Red points are from an HCS experiment; green points are generated from red points by shuffling the Y values in a certain way. The tree growing algorithm successfully uncovers this pattern and identifies Y to be more important than X.



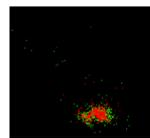
## Etoposide Dose Response of U-2 OS Cells

- Comparing the effects of etoposide on U-2 OS cells.
- Cellular targets monitored: DNA, pRb, and p53.
- No etoposide in well A3. Concentrations of etoposide increase with a common ratio of 3 from well B3 to well H3.
- To test for any concentration effect, 7 classification trees are grown to compare the "red" well (A3) with each of the 7 "green" wells.
- Each classification tree is grown with 11 variables:
  - DNA stain intensity, nuclear area
  - 3 variables characterizing nucleus shape
  - pRb & p53: cytoplasmic intensity, nuclear intensity, and cytoplasm-to-nucleus translocation.
- For each of the 7 classification trees, an MST planing is done to visualize the joint distribution of the 11 variables and a *multivariate* Kolmogorov-Smirnov test is done as a reference.
- Result:

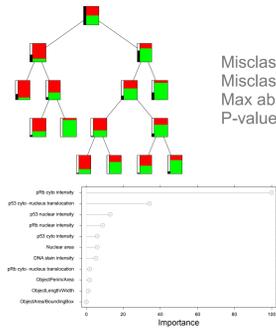
- A3 vs. B3:



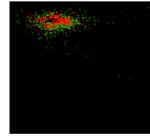
Misclassification rate: 0.400 (s.d. 0.008)  
Misclassification rate of majority vote: 0.456  
Max absolute deviation of multivariate KS test: 0.045  
P-value of multivariate KS test: 0.06



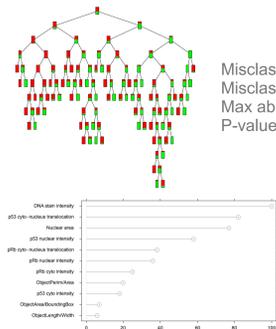
- A3 vs. C3:



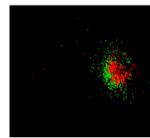
Misclassification rate: 0.192 (s.d. 0.007)  
Misclassification rate of majority vote: 0.471  
Max absolute deviation of multivariate KS test: 0.119  
P-value of multivariate KS test: 0.0



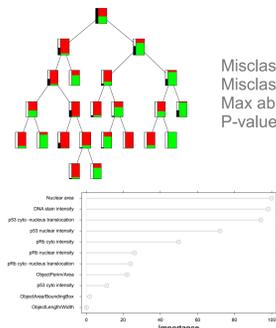
- A3 vs. D3:



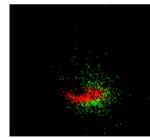
Misclassification rate: 0.091 (s.d. 0.005)  
Misclassification rate of majority vote: 0.483  
Max absolute deviation of multivariate KS test: 0.439  
P-value of multivariate KS test: 0.0



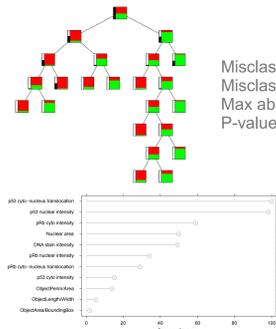
- A3 vs. E3:



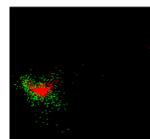
Misclassification rate: 0.091 (s.d. 0.005)  
Misclassification rate of majority vote: 0.469  
Max absolute deviation of multivariate KS test: 0.646  
P-value of multivariate KS test: 0.0



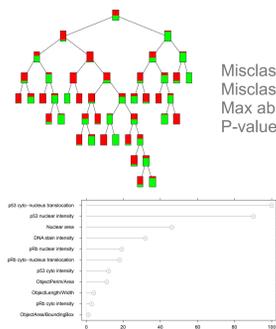
- A3 vs. F3:



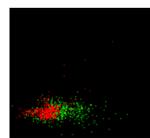
Misclassification rate: 0.086 (s.d. 0.005)  
Misclassification rate of majority vote: 0.467  
Max absolute deviation of multivariate KS test: 0.786  
P-value of multivariate KS test: 0.0



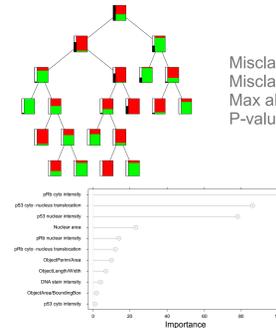
- A3 vs. G3:



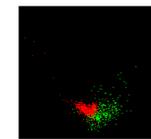
Misclassification rate: 0.071 (s.d. 0.005)  
Misclassification rate of majority vote: 0.459  
Max absolute deviation of multivariate KS test: 0.785  
P-value of multivariate KS test: 0.0



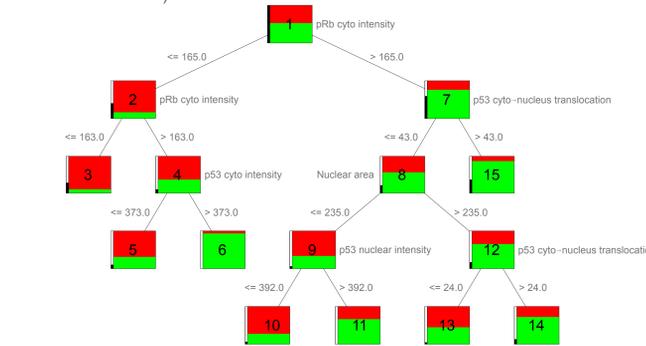
- A3 vs. H3:



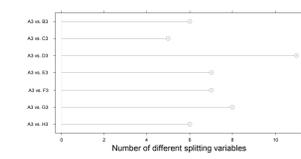
Misclassification rate: 0.049 (s.d. 0.004)  
Misclassification rate of majority vote: 0.396  
Max absolute deviation of multivariate KS test: 0.758  
P-value of multivariate KS test: 0.06



- Let  $R$  denote the misclassification rate of a classification tree and  $R_{mv}$  the misclassification rate of majority vote. The A3-vs.-B3 comparison exhibits the smallest  $R_{mv} - R$ : 0.056, which is 7 times the standard deviation of the  $R$  for the A3-vs.-B3 classification tree. This alone should convince us that these 2 samples are different (that is, etoposide affects cells at this lowest concentrations level). Bootstrapping shows these 2 samples are different with a p-value less than 0.002.
- Nuclear intensity and cyto-nucleus translocation of pRb are more important than those of p53 at lower etoposide concentrations; however, the reverse is true at higher etoposide concentrations. pRb cyto intensity is uniformly more important than p53 cyto intensity at all etoposide concentrations. pRb cyto intensity is the most important variable twice among the 7 classification trees.
- The 3 variables characterizing nucleus shape are always among the 4 least important variables except for the A3-vs.-H3 comparison, where they are among the 5 least important variables.
- Due to space limitation and the static nature of a poster, only minimal information is displayed in each of the 7 classification trees. With the aid of dynamic graphics on a computer screen, much information is just a few mouse clicks away. For example, we can enlarge the A3-vs.-C3 tree to reveal the splitting variable and the splitting value at each node. These additional pieces of information allow us to understand the conditions that determine when a cell is in one class rather than another. For example,
  - If pRb cyto intensity is  $\leq 163.0$ , a cell is very likely to be untreated by etoposide (Node 1 - Node 2 - Node 3).
  - If  $163.0 < \text{pRb cyto intensity} \leq 165.0$  and  $\text{p53 cyto intensity} > 373.0$ , a cell is very likely to be treated by etoposide (Node 1 - Node 2 - Node 4 - Node 6).
  - If pRb cyto intensity  $> 165.0$  and  $\text{p53 cyto-nucleus translocation} > 43.0$ , a cell is very likely to be treated by etoposide (Node 1 - Node 7 - Node 15).



- Not all variables supplied to the tree growing algorithm are chosen as splitting variables; only important ones are chosen.



## Summary

- HCS data are inherently multivariate.
- Analyzing multivariate data using methods univariate in nature (histograms, the KS test) runs the risk of missing important content of high-content screening data sets.
- Nonparametric methods are required to properly decipher HCS data sets.
- A classification tree is a versatile tool:
  - It can do multivariate two-sample comparison. For screening, it provides objective ways ( $R$ ,  $R_{mv} / R$ ,  $(R_{mv} - R) / \text{s.d. of } R$  or p-value) to compare 2 HCS samples; no more need to squint at a bunch of heat maps.
  - It gives us a clear idea of which variables are important.
  - It enables us to understand what variables or interactions of variables drive a cellular phenomenon.
- All data analysis and plots in this poster were done with Panmo, a dynamic graphics system for exploring HCS data.